S/N unknown PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

KIM et al.

Examiner:

unknown

Serial No .:

unknown

Group Art Unit:

unknown

Filed:

29 October 2003

Docket No.:

12109.83US01

Title:

METHOD FOR FORMING QUANTUM DOTS USING METAL THIN

FILM OR METAL POWDER

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV347837188US

Date of Deposit: 29 October 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450

Name: Teresa Anderson

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Dated: 29 October 2003

Curtis B. Hamre Reg. No. 29,165

CBH:hjm

Date Mailed: 29 October 2003 Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 12109.83US01	Application Number: Unknown		
IN AN APPLICATION	Applicant: KIM et al.			
(Use several sheets if necessary)	Filing Date: 29 October 2003	Group Art Unit: unknown		

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
						•	
		FOR	EIGN PATENT DOCUM	IENTS			
,	DOCUMENT NO.	O. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	OTHER	DOCUMENTS	(Including Author, Title, I	Date, Pertinent I	Pages, Etc.)		
	CHUNG of University	et al. "Synthesis y, Dept. of Mater	of oxide nanoparticles embrials Science and Engineering	pedded in polyin ng, Seoul, Korea	nide". Paper presen	nted to Hanya	ing
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23552
PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.